

**Application for dealing/trading in Derivatives (F&O /Commodity Segment)**

To,  
 Lakshmishree Investment And Securities Limited  
 “Shree House” C -29/61-5,  
 Teliyabag Varanasi- 221002  
 Phone : 0542 6600000

Date : \_\_\_\_\_

I/We \_\_\_\_\_ registered with you as a client and wish to modify /  
 add trading preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time  
 thereafter.

PAN of the Client : \_\_\_\_\_ Client Code: \_\_\_\_\_

I/We understand that the brokerage charged to me in the new segment / new exchange respective segment.

Exchange	Segment	Signature*1
NSE/BSE	Equity	
NSE/BSE	F&O	
NSE	SLBM	
MCX	Commodity Derivatives	

**Proofs Attached & Checked:**

1. Pan card Copy (If you want to add Derivative Segment)
2. Address Proof (If you want to add Derivative Segment)
3. Demat Holdings (Minimum 50,000 Rs Stock value require)
4. 6 Month Bank Statement
5. ITR Proof
6. Salary Slip
7. Self-Declaration with relevant supporting documents

**Applicable for commodity exchange ( MCX) segment addition**

CLIENT CATEGORY		
<input type="checkbox"/> FPOs / Farmer	<input type="checkbox"/> Value Chain Participant	<input type="checkbox"/> Domestic Financial Institutional Investor
<input type="checkbox"/> ForeignParticipant	<input type="checkbox"/> Others	

**Reactivation Letter**

To,  
**Lakshmishree Investment And Securities Limited**  
**“Shree House” C -29/61-5,**  
**Teliyabag Varanasi- 221002**  
**Phone : 0542 6600000**

Date : \_\_\_\_\_

Dear Sir

I M/s. \_\_\_\_\_ client code \_\_\_\_\_ in \_\_\_\_\_ segment of the exchange, request you to reactivate my client code for trading.

I had not traded for more than \_\_\_\_\_ days due to some personal and genuine reason. To ensure compliance, my account is deactivated from trading.

Further, I confirm that my current email id & mobile No. are as mentioned below: -

**Mobile No.**..... **Email ID**.....

I confirm and update my financials details as stated below

**Income range** \_\_\_\_\_

**Net worth** \_\_\_\_\_ **as on** \_\_\_\_\_

I am satisfied with the past services of your organization and want to continue trading once again.

Thank you

Client code \_\_\_\_\_ Client name \_\_\_\_\_

Signature \_\_\_\_\_

**For Office Use only**

In Person verification done	
Name of the Employee/AP	
Designation	
Signature	
Date	

**Pledge Consent Letter**

To,  
Lakshmishree Investment And Securities Limited  
401, Marathon Icon, Marathon nextgen Campus,  
Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park,  
Lower Parel, Mumbai – 400013

Date : \_\_\_\_\_

1. I /WE request you that shares deposit/placed in client collateral account be used for meeting margin obligations only.
2. I /WE hereby agree that Securities will be subject to the creation of pledge in favour of or for the benefit of clearing corporation and further that the Securities over which pledge may be created in future would be in existence and owned by me/us at the time of creation of such pledge and that the Said Securities to be given in future as security to clearing corporation would likewise be unencumbered, absolute and disposable property of mine/us.
3. I /WE agrees that the Said Securities shall be subject to the first priority and lien in favour of clearing corporation to secure, the my/our obligations and that the rights or interests of mine/us with respect to the Said Securities shall be subject and subordinate to the rights, claims and interests of clearing corporation.
4. In respect of the Pledge Securities, clearing corporation may invoke the pledge without any reference to or permission by me and upon receipt of the Said Securities, clearing corporation may utilize the proceeds in meeting the obligations in such manner as it may deem fit and that such invocation of pledge will be final and irrevocable against the Clearing Member and me.
5. I /WE shall not make any claims or demands for refund or any reimbursement in relation to the pledged Securities.

Thank you

Client Name: \_\_\_\_\_ Client Code: \_\_\_\_\_ Mobile number \_\_\_\_\_